

9/9/02  
PATENT

Attorney Docket No. MTI-31532

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Ronald A. Weimer  
Serial No. : 09/941,827  
Filing Date : August 29, 2001  
For : Method of Improved High K Dielectric-Polysilicon Interface for CMOS Devices  
Group Art Unit : 2813  
Examiner : Huynh, Yennhu B.  
Confirmation No. : 7551

---

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**Mailing**

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 CFR 1.8(a)

37 CFR 1.10

☐ with sufficient postage as first class mail ☒ As "Express Mail Post Office to Addressee" Mailing Label No. EV048319425US

**Transmission**

☐ transmitted by facsimile to Fax No \_\_\_\_\_ addressed to Examiner \_\_\_\_\_ at the Patent and Trademark Office.

Date:

September 9, 2002

J. M. R. Haul

---

Assistant Commissioner for Patents  
Washington, D.C. 20231

**AMENDMENT TRANSMITTAL**

1. Transmitted herewith is: Response to Restriction Requirement and Preliminary Amendment; replacement claims; and blacklined claims

**STATUS**

2. Applicant is other than a small entity.

**EXTENSION OF TERM**

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

	<u>Total months Requested</u>	<u>Fee for other than Small Entity</u>	<u>Fee for Small Entity</u>
<input type="checkbox"/>	one month	\$110.00	\$ 55.00
<input type="checkbox"/>	two months	\$400.00	\$195.00
<input type="checkbox"/>	three months	\$890.00	\$445.00
<input type="checkbox"/>	four months	\$1,390.00	\$695.00

Fee \$ \_\_\_\_\_

If an additional extension of time is required please consider this a petition therefor.

- a. ☐ An extension for \_\_ months has already been secured and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

OR

- b. ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

Extension fee due with this request \$ 0.00

#### FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee or	Rate	Addit. Fee
Total 95	Minus 77 =	18	x9= \$		x18=	\$324.00
Indep. 49	Minus 32 =	17	x42= \$		x84=	\$1428.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+140=\$		+280=	\$0
			TOTAL ADDIT. FEE \$		or TOTAL ADDIT. FEE	\$1752.00

- c. ☐ No additional fee for claims is required.

OR

- d. ☒ Total additional fee for claims required \$1,752.00.

#### FEE PAYMENT

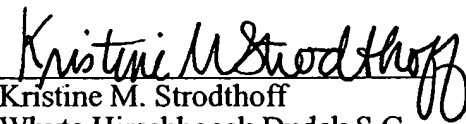
5. Attached is a check in the sum of \$1752.00

**FEE DEFICIENCY**

6. If any additional extension and/or fee is required, charge Account No. 23-2053.  
If any additional fee for claims is required, charge Account No. 23-2053.

Reg. No. 34259  
Tel. No.: (414) 273-2100

Date: September 9, 2002  
Customer No.: 31870

  
Kristine M. Strodthoff  
Whyte Hirschboeck Dudek S.C.  
111 East Wisconsin Avenue, Suite 2100  
Milwaukee, WI 53202

PATENT

Attorney Docket No. MTI-31532

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Weimer, Ronald A.  
Serial No. : 09/941,827  
Filing Date : August 29, 2001  
For : Method of Improved High K Dielectric-Polysilicon Interface for CMOS Devices  
  
Examiner : HUYNH, Yennhu B.  
Group Art Unit : 2813  
Confirmation No. : 7551

---

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**Mailing**

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231  
37 CFR 1.8(a) 37 CFR 1.10  
☐ with sufficient postage as first class mail ☒ As "Express Mail Post Office to Addressee" Mailing Label No. EV048319425US

**Transmission**

- ☐ transmitted by facsimile to Fax No \_\_\_\_\_ addressed to Examiner \_\_\_\_\_ at the Patent and Trademark Office.

Date:

September 9, 2002

Jim R. Hawk

---

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO RESTRICTION REQUIREMENT  
AND PRELIMINARY AMENDMENT**

Sir:

**Restriction Requirement.** In response to the Examiner's requirement for an election of the claims, mailed August 9, 2002, in the above-identified patent application, Applicant elects Group 1, Claims 1-62, drawn to methods, with traverse.

**Preliminary Amendment.** Prior to substantive examination, Applicant requests that the following amendments be made to the above-referenced application.

MKE/789487.1